

# Application for Transportation Operating Assistance

## *FY2020 Rural Operating Assistance Program (ROAP) Funds*

<b>Name of Applicant</b>	Eastern Band of Cherokee Indians
<b>Principal Chief</b>	Principal Chief Richard G. Sneed
Principal Chief Email Address	richsnee@nc-chokeee.com
<b>Finance Officer</b>	Cory Blankenship
FO's Email Address	Coryblan@nc-chokeee.com
FO's Phone Number	828.359.7052
<b>Person Completing this Application</b>	Kristin Lane
Person's Job Title	Budget Analyst / Grants Coordinator
Person's Email Address	krislane@nc-chokeee.com
Person's Phone Number	828.359.6302
<b>Community Transportation System</b>	EBCI Transit
Name of Transit Contact Person	Kevin Tafoya
Transit Contact Person's Email Address	kevitafo@nc-chokeee.com

Application Completed by:

Kristin Lane  
Signature

Date:

12/11/19

I certify that the content of this application is complete and accurately describes the county's administration of the ROAP Program, and the use of the ROAP funds in accordance with applicable state guidelines. I certify and understand that if the bi-annual and annual milestone reports and any other status reports required by the Integrated Mobility Division (IMD) are not submitted on or before the due dates, the next scheduled disbursement will be held until all reports are submitted.

I certify and understand that the county will be invoiced by NC DOT for any unspent funds at the end of the period of performance and that funds for the next fiscal year will not be disbursed until re-payment has been made.

Principal Chief :

Signature

Date:

Finance Officer:

Signature

Date:

## Application Instructions

County officials should read the ROAP Program Administration Guide which contains guidance on the administration of the ROAP Program and information about the preparation of grant applications.

- The application must be completed by an official of the county or his/her designee.
- Click on the gray rectangle and type each answer. If necessary, the text will automatically wrap to the next row. The answer may wrap to the next page if necessary.
- If the county wishes to explain their response to any questions or provide more information, the county may include additional pages with this application form. All the pages of the application and any pages added by the applicant should be scanned into the same file.
- If there are questions regarding this application, contact the NCDOT-IMD Mobility Development Specialist assigned to the area served by the transit system.

### FY2020 ROAP Program Schedule

Application Deadline	January 17, 2020
----------------------	------------------

Partial Funds Disbursement	January 3, 2020 March 6, 2020
----------------------------	----------------------------------

**\*All outstanding unspent funds must be repaid to NCDOT before disbursement of FY2020 funds can be made.**

#### Milestone Reports

Milestone Report #1

February 28, 2020

Milestone Report #2

July 31, 2020 (Annual)

## County's Management of ROAP Funds

County governments or regional public transportation authorities created pursuant to Article 25 or Article 26 of Chapter 160A of the General Statutes (upon written agreement with municipalities or counties served) are the only eligible applicants for ROAP funds. As a recipient of ROAP funds, the county must implement administrative processes that will ensure the following:

- ROAP funds are expended on needs identified through a public involvement and/or planning process
- **ROAP funds are expended only on eligible activities as described in Appendix A of the ROAP Guidance.**
- **Supporting documentation of expenditures by all sub-recipients is required.**
- Service recipients meet eligibility requirements and their eligibility is documented
- Trips funded with ROAP funding are monitored and evaluated throughout the period of performance
- An accounting of trips and expenditures is provided in bi-annual reports to NCDOT with supporting documents
- ROAP funds received and expended are included in the local annual audit

ROAP funds will be disbursed to counties in two lump-sum payments in the Rural General Public program. Counties have the flexibility to determine how the funding will be used to meet the needs of the citizens and how to sub-allocate the funding to meet the transportation needs, but the guidelines for each program used must be followed and trips accounted for by program used.

Transportation Needs and Public Involvement in Funding Decisions	Yes	No
A. Did the county ask the Community Transportation Advisory Board (TAB), which is affiliated with the Community Transportation System, to recommend how the ROAP funds should be sub-allocated?	X	
B. Were outreach efforts conducted to inform agencies about the availability of ROAP funds and to discuss transportation needs BEFORE the county decided how to sub-allocate the ROAP funds?		X
C. Is the method used to sub-allocate the ROAP funds fair and equitable? Open and transparent?	X	
Financial Management of ROAP Funds	Yes	No
D. Does the county pass through any ROAP funds to agencies or organizations that are not county governmental departments or agencies?		X
E. If yes, does the county have a written agreement with these agencies that addresses the proper use, return and accountability of these funds? <i>(Include a sample agreement with application)</i>		N/A
F. Do any of the organizations or departments receiving ROAP funds plan to use private transit contractors to provide the ROAP funded trips? <i>(Their procurement practices will need to meet all federal and state requirements for procurement of professional services.)</i>		X
G. Are ROAP funds deposited in an interest-bearing account?	X	
H. Does the county provide local funds for transportation operating assistance to any of the ROAP sub-recipients in addition to the state ROAP funds?	X	
Monitoring and Oversight Responsibilities	Yes	No
I. Subrecipients of ROAP funds are required to provide sufficiently detailed progress reports and statistical data about trips provided and rider eligibility with ROAP funds. How frequently are these provided to the county? In what format? The County must be prepared to provide documentation that an eligible citizen was provided an eligible service or trip on the billed date, by whatever conveyance, at a specific cost.  What form of documentation is collected? <b>ROAP REPORT</b>	X	
J. Does the county require the subrecipients of ROAP funds to use the coordinated transportation services of the federally funded Community Transportation System operating in the county?	X	
K. Will any of the subrecipients use their ROAP sub-allocation as matching funds for any of the following programs? <i>(Matching funds for operating assistance or purchase of service only.)</i>  5310 – Elderly Individuals and Individuals with Disabilities Program 5311 - Non-urbanized Area Formula Program 5316 – Job Access and Reverse Commute Program (JARC) 5317 – New Freedom Program		
L. Will any of the subrecipients charge a fare for a ROAP funded trip?		
M. Describe the eligibility criteria to be used in this county to determine who will be provided ROAP funded trips. What documents are used to determine eligibility?		
N. Since the subrecipient can only use RGP funds to pay for 90% of the cost of a trip, will the Community Transportation System use fare revenue to generate the local 10% match requirement for RGP funds?		X

**CERTIFIED STATEMENT**  
**FY2020**  
**RURAL OPERATING ASSISTANCE PROGRAM**  
**Eastern Band of Cherokee Indians**

**WHEREAS**, the state-funded, formula-based Rural Operating Assistance Program (ROAP) administered by the North Carolina Department of Transportation, Integrated Mobility Division provides funding for the operating cost of passenger trips for counties within the state;

**WHEREAS**, the county uses the most recent transportation plans (i.e. CCP, CTIP, LCP) available and other public involvement strategies to learn about the transportation needs of agencies and individuals in the county before determining the sub-allocation of these ROAP funds;

**WHEREAS**, the county government or regional public transportation authorities created pursuant to Article 25 or Article 26 of Chapter 160A of the General Statutes (upon written agreement with the municipalities or counties served) are the only eligible recipients of Rural Operating Assistance Program funds which are allocated to the counties based on a formula as described in the Program Guidelines included in the ROAP State Management Plan. NCDOT will disburse the ROAP funds only to counties and eligible transportation authorities and not to any sub-recipients selected by the county;

**WHEREAS**, the county finance officer will be considered the county official accountable for the administration of the Rural Operating Assistance Program in the county, unless otherwise designated by the Board of County Commissioners;

**WHEREAS**, the passenger trips provided with ROAP funds must be accessible to individuals with disabilities and be provided without discrimination on the basis of national origin, creed, age, race or gender (FTA C 4702.1B, FTA C 4704.1A, Americans with Disabilities Act 1990); and

**WHEREAS**, the period of performance for these funds will be July 1, 2019 to June 30, 2020 regardless of the date on which ROAP funds are disbursed to the county.

**NOW, THEREFORE**, by signing below, the duly authorized representatives of the Eastern Band of Cherokee Indians North Carolina certify that the following statements are true and accurate:

- The county employed a documented methodology for sub-allocating ROAP funds that involved the participation of eligible agencies and citizens. Outreach efforts to include the participation of the elderly and individuals with disabilities, persons with limited English proficiency, minorities and low income persons in the county's sub-allocation decision have been documented.
- The county will advise any sub-recipients about the source of the ROAP funds, specific program requirements and restrictions, eligible program expenses and reporting requirements. The county will be responsible for invoicing any sub-recipients for unexpended ROAP funds as needed.
- The county will monitor ROAP funded services routinely to verify that ROAP funds are being spent on allowable activities and that the eligibility of service recipients is being properly documented. The county will maintain records of trips for at least five years that prove that an eligible citizen was provided an eligible transportation service on the billed date, by whatever conveyance at the specified cost.
- The county will be responsible for monitoring the safety, quality and cost of ROAP funded services and assures that any procurements by subrecipients for contracted services will follow state and federal guidelines.

- The county will conduct regular evaluations of ROAP funded passenger trips provided throughout the period of performance.
- The county will only use the ROAP funds to provide trips when other funding sources are not available for the same purpose or the other funding sources for the same purpose have been completely exhausted.
- The county assures that the required matching funds for the FY2020 ROAP can be generated from fares and/or provided from local funds.
- The county will notify the Mobility Development Specialist assigned to the county if any ROAP funded services are discontinued before the end of the period of performance due to the lack of funding. No additional ROAP funds will be available.
- The county will provide an accounting of trips and expenditures in bi-annual milestone reports to NCDOT – Integrated Mobility Division or its designee. **Back-up documentation is required to support the bi-annual and annual reports, failure to provide documentation will affect future disbursements.**
- Any interest earned on the ROAP funds will be expended for eligible program uses as specified in the ROAP application. The County will include ROAP funds received and expended in its annual independent audit on the schedule of federal and state financial assistance. Funds passed through to other agencies will be identified as such.
- The county is applying for the following amount of FY2020 Rural Operating Assistance Program funds:

State-Funded Rural Operating Assistance Program	Allocated	Requested
Rural General Public Program (RGP)	<u>\$52,829.27</u>	\$52,829.27
<b>TOTAL</b>	<u><b>\$52,829.27</b></u>	<u><b>\$52,829.27</b></u>

WITNESS my hand and county seal, this \_\_\_\_ day of \_\_\_\_, 2020 .

\_\_\_\_\_  
Signature of Principal Chief

\_\_\_\_\_  
Signature of EBCI Finance Officer

\_\_\_\_\_  
Printed Name of Principal Chief

\_\_\_\_\_  
Printed Name of EBCI Finance Officer

Eastern Band of Cherokee Indians

*Eastern Band of Cherokee Indians Seal  
Here*

## FY 2019-2020 ROAP Application Submission Checklist

Applicant Name Here _____		EBCI Transit	
	ITEM	Signed & Scanned Copy to PTD	Due Date (not later than)
<input checked="" type="checkbox"/>	<b>Certifications</b> Certification Statement		1/17/2020
<input checked="" type="checkbox"/>	<b>Program Documents</b> FY2019-2020 ROAP Program Application		1/17/2020
<input checked="" type="checkbox"/>	<b>Other Documents</b> ROAP Application Submission Checklist		1/17/2020

**All documents must be scanned separately and submitted in the Drop Box in Enterprise Business Services (EBS) by the County Finance Director or the leader of the Community Transportation System in your county.**

### IMPORTANT!!

NCDOT will not accept any ROAP documents that are mailed or emailed to our office.

2020 COUNTIES	FY2020 Total Allocation			
	EDTAP	EMPL	RGP	Total
Alamance	\$ 122,776.71	\$ 40,837.67	\$ 89,255.42	\$ 252,869.80
Alexander	\$ 72,123.73	\$ 11,581.36	\$ 78,141.07	\$ 161,846.16
Alleghany	\$ 59,468.28	\$ 5,519.12	\$ 56,010.37	\$ 120,997.77
Anson	\$ 66,546.47	\$ 9,395.28	\$ 72,415.89	\$ 148,357.64
Ashe	\$ 67,688.67	\$ 9,759.31	\$ 72,761.90	\$ 150,209.88
Avery	\$ 61,467.37	\$ 7,169.19	\$ 62,909.59	\$ 131,546.16
Beaufort	\$ 81,868.12	\$ 14,786.53	\$ 94,032.58	\$ 190,687.23
Bertie	\$ 64,399.42	\$ 8,632.28	\$ 66,529.71	\$ 139,561.41
Bladen	\$ 74,437.72	\$ 12,680.48	\$ 80,977.16	\$ 168,095.36
Brunswick	\$ 122,230.34	\$ 33,665.53	\$ 114,555.23	\$ 270,451.10
Buncombe	\$ 174,032.99	\$ 60,048.39	\$ 104,032.89	\$ 338,114.26
Burke	\$ 105,402.78	\$ 24,776.93	\$ 84,702.28	\$ 214,881.99
Cabarrus	\$ 115,233.67	\$ 47,986.00	\$ 80,219.14	\$ 243,438.82
Caldwell	\$ 98,206.60	\$ 23,132.87	\$ 74,115.94	\$ 195,455.42
Camden	\$ 57,017.16	\$ 5,299.11	\$ 54,790.32	\$ 117,106.60
Carteret	\$ 93,950.66	\$ 20,548.83	\$ 113,468.20	\$ 227,967.69
Caswell	\$ 66,484.49	\$ 8,618.26	\$ 69,061.79	\$ 144,164.54
Catawba	\$ 120,431.77	\$ 41,351.68	\$ 99,743.76	\$ 261,527.21
Chatham	\$ 82,990.63	\$ 18,179.65	\$ 103,623.88	\$ 204,794.15
Cherokee	\$ 70,671.77	\$ 9,726.31	\$ 72,669.90	\$ 153,067.98
Chowan	\$ 61,489.34	\$ 6,612.18	\$ 59,789.49	\$ 127,891.02
Clay	\$ 58,691.31	\$ 5,347.11	\$ 55,420.35	\$ 119,458.77
Cleveland	\$ 106,998.84	\$ 28,606.19	\$ 134,697.86	\$ 270,302.89
Columbus	\$ 88,398.09	\$ 18,244.72	\$ 104,772.92	\$ 211,415.73
Craven	\$ 105,747.84	\$ 27,494.02	\$ 99,478.75	\$ 232,720.61
Cumberland	\$ 184,334.19	\$ 85,198.71	\$ 88,780.41	\$ 358,313.31
Currituck	\$ 62,487.42	\$ 9,946.37	\$ 68,882.78	\$ 141,316.57
Dare	\$ 70,025.75	\$ 17,715.95	\$ 79,658.11	\$ 167,399.82
Davidson	\$ 134,605.97	\$ 43,344.76	\$ 124,592.55	\$ 302,543.28
Davie	\$ 71,641.85	\$ 12,577.40	\$ 79,926.13	\$ 164,145.38
Duplin	\$ 82,083.08	\$ 17,758.67	\$ 105,194.93	\$ 205,036.68
Durham	\$ 151,682.80	\$ 72,808.19	\$ 60,065.49	\$ 284,556.48
Eastern Band of the Cherokee	\$	\$	\$ 52,829.27	\$ 52,829.27
Edgecombe	\$ 84,871.06	\$ 19,968.90	\$ 85,145.30	\$ 189,985.25
Forsyth	\$ 189,770.87	\$ 92,047.96	\$	\$ 281,818.83
Franklin	\$ 82,621.08	\$ 18,213.68	\$ 102,374.85	\$ 203,209.61
Gaston	\$ 156,529.52	\$ 56,544.45	\$ 93,410.55	\$ 306,484.52
Gates	\$ 58,452.23	\$ 5,627.11	\$ 57,093.41	\$ 121,172.75
Graham	\$ 56,319.21	\$ 5,816.17	\$ 53,131.28	\$ 115,266.66
Granville	\$ 88,679.98	\$ 16,989.58	\$ 105,660.95	\$ 211,330.51
Greene	\$ 62,344.34	\$ 7,913.21	\$ 66,612.71	\$ 136,870.26
Guilford	\$ 239,637.95	\$ 132,729.03	\$ 108,826.04	\$ 481,193.02



# Grant Application Review Form

LOG # 2-0007Program: Transit**GRANT INFORMATION:**CFDA # 20.509FOA           Grant Title: Rural Operating Assistance ProgramGranting Agency: North Carolina Department of TransportationPurpose of Grant: Operating AssistanceHow will this be accomplished? The monies received for this grant will be spent on gas and maintenance for Transit vehicles.Closing Date (Grant Application Deadline) 1/7/2020Grant Period: Beginning 7/1/2019 End 6/30/2019 Dates Fiscal Year(s) FY20Is this a Sub-Award? ☐ YES ☒ NOIs this to continue a current grant? ☒ YES ☐ NO If yes, current Grant # 09631Electronic submission? *If no, explain why.* ☒ YES ☐ NO**NOTE: All applications must be submitted by the Grant Compliance Office on behalf of the Tribe & program**Bookkeeping contact (in program): Kristin Lane Phone No: 828-359-6302Grant administration experience? ☒ YES ☐ NOResolution Attached? ☒ YES ☐ NO **NOTE: If no, form is not complete & cannot be reviewed****FUNDING DETAILS:**Is GF Match used as a source for tribal portion? ☒ YES, (a Funding Request Form is required) ☐ NO**Allocation of Funding:**52,830 = Grant Portion5,283 = Tribal Portion58,113 = Total**Source(s) of Tribal Portion:**☐ GF Match☒ Tribal Match 5,283☐ In-kind Match**Tribal/In-kind Notes:**Passenger FaresIndirect Allowed ☐ YES, % Allowed:            ☒ NOWhat are the requirements for continuation once grant funding ends? Grant is granted per formula to program from NC State and should continue per allocation.Does this grant cover any salary costs? ☐ YES ☒ NO What is the salary amount?           Will the Tribe be responsible for funding this salary once the grant ends? ☐ YES ☐ NO**Program Authorization:**

Date

Program Contact Kristin Lane12/11/19Program Manager [Signature]12/11/19Director of Program [Signature]12/09/17Secretary Mullins (for) Jeremy Arnold12/11/19**Grants Compliance Office Authorization:**

Date

Grants Compliance(DeMakus Staton) [Signature]12/18/19Received from Grants           Coresponding Funding Request #           **Tribal Authorization:**Date of Chief review           Comments:           Resolution#           Date           Council Action:           **Award:**Grant Awarded ☐ YES ☐ NODate of Notification:           GEMS #           Awarded Amount:           Grant #           Grant orientation date:           Award Period